

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Samuel A. Ramirez & Company, Inc.			
Name of Financial Institution			
445 South Figueroa Street, Suite 2310	Los Angeles	California	90071
Street Address	City	State	Zip Code
Vien Le, Vice President			
Contact Person Name and Title			
213-627-2116	vien.le@ramirezco.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.


1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

 2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? Varies*
 - b. What was the total value of the awarded scholarships? 5,000
- *Awarding organization will determine how to many scholarships will be provided with funds earmarked for scholarships only
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

Note: Ramirez is a certified MBE and is sensitive to providing opportunities for similar firms. Although no formal policy exists the firm does utilizes these firms for various needs.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Vien Le, Vice President		6/20/16
Print Name, Title	Signature	Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Contributions Made By Samuel A. Ramirez & Company, Inc. to City of Los Angeles City Organizations

Name of Charitable Organization	Type	Amount (\$)
Catholic Education Foundation	Education & Literacy	\$ 300.00
Hispanas Organized for Political Equality	Women Empowerment	\$ 1,800.00
Loyola Marymount University	Education & Literacy	\$ 5,000.00
Si Se Puede Foundation	Education & Literacy	\$ 500.00
Union Rescue Mission	Homelessness	\$ 500.00
The United Way of Greater Los Angeles	Homelessness	\$ 500.00
SCHOLARSHIP FUNDS		
Rotary Club of Los Angeles Foundation - Scholarship Fund (For LAUSD College and Trade Tech Bound High School Students)	Education & Literacy	\$ 5,000.00
Grand Total		\$ 13,600.00